

# Surface holonomy and monopoles (BUGCAT 2014)

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October 18, 2014

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# Groups as categories

- Categories  $\mathcal{C}$  have objects  $C_0$  and morphisms  $C_1$  along with functions

$$C_1 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{i} \\ \xrightarrow{t} \end{array} C_0$$

specifying the source and target of a morphism along with an identity morphism for every object. There is also a composition map

$C_1 \times_t C_1 \rightarrow C_1$  drawn as

$$\begin{array}{c} z \xleftarrow{g_1} y \xleftarrow{g_2} x \\ \mapsto \\ z \xleftarrow{g_1 g_2} x \end{array}$$

that is associative and unital with respect to the identity morphism.

- Groups,  $G$ , can be thought of as categories,  $\mathcal{B}G$ , with one object all of whose morphisms are invertible.
- Lie groups can be described the same way with all sets and functions mentioned above being smooth.

# Local parallel transport I

- Principal  $G$ -bundles  $P \rightarrow M$  with connection can be described in terms of local  $\mathfrak{g}$ -valued 1-forms ( $A_i \in \Omega^1(U_i; \mathfrak{g})$ ) and transition functions ( $g_{ij} \in \Omega^0(U_{ij}; G)$ ) for some open cover  $\{U_i\}_{i \in I}$  of  $M$ .
- They give rise to, and are determined by, functors  $\text{tra} : \mathcal{P}_1(M) \rightarrow \mathcal{B}G$  with *local* (not global) smoothness properties. Here  $\mathcal{P}_1(M)$  is a certain smooth groupoid whose points are paths and morphisms are certain equivalence classes of paths, known as *thin paths*.  $\mathcal{P}_1(M)$  is called the *thin path groupoid* of  $M$ .
- One of the local smoothness conditions says that there are *smooth* functors  $\text{tra}_i : \mathcal{P}_1(U_i) \rightarrow \mathcal{B}G$  related to the restriction  $\text{tra}|_{U_i}$  by a smooth natural isomorphism. A functor is smooth when it is smooth on objects and morphisms. A natural transformation is smooth when it is a smooth function from objects to morphisms.

## Local parallel transport II

- For a path  $\gamma$  contained in a single open set  $U$  with associated local 1-form  $A$ , the smooth functor  $\mathcal{P}_1(U) \rightarrow \mathcal{B}G$  is defined by the path-ordered integral

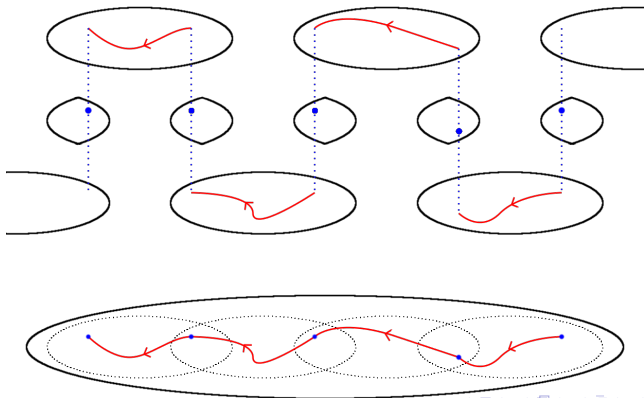
$$\mathcal{P} \exp \left\{ \int_0^1 A_t \left( \frac{\partial}{\partial t} \right) dt \right\} := \sum_{n=0}^{\infty} \frac{1}{n!} \int_0^1 dt_n \cdots \int_0^1 dt_1 \mathcal{T} \left[ A_{t_n} \left( \frac{\partial}{\partial t} \right) \cdots A_{t_1} \left( \frac{\partial}{\partial t} \right) \right],$$

where  $\mathcal{T}$  stands for time-ordering with earlier times appearing to the right.

- There is an isomorphism of categories between such differential forms  $A$  on  $U$  and such smooth functors as above.

# Global parallel transport I

In general, we have to specify an open set for *every* point. Then, for paths  $\gamma$  not contained in a single open set, we have to *choose* a collection  $(U_{i_n}, \dots, U_{i_1})$  of open sets from  $\{U_i\}$  that cover the path  $\gamma$  and choose points  $(x_{n-1}, \dots, x_1)$  on the intersections.



## Global parallel transport II

- In this case, the transport is defined as

$$\text{tra}(\gamma) = g_{i_{n+1}i_n}(x)\text{tra}(\gamma_n)g_{i_n i_{n-1}}(x_{n-1}) \cdots \text{tra}(\gamma_2)g_{i_2 i_1}(x_1)\text{tra}(\gamma_1)g_{i_1 i_0}(x)$$

where  $U_{i_0}$  is the open set chosen to contain  $x$  and  $U_{i_{n+1}}$  is the open set chosen to contain  $y$ .

- This depends on our choice of open cover  $\{U_i\}$  and our points in the intersections. For simplicity (and without loss of generality), assume we chose the same cover but we chose a new collection of open sets  $(U'_{i'_m}, \dots, U'_{i'_1})$  from  $\{U_i\}$  and points  $(x'_{m-1}, \dots, x'_1)$ . Then we define  $\text{tra}'(\gamma)$  analogously to the first case. The relationship between the two is

$$\text{tra}'(\gamma) = g'_{i'_m i'_n}(y)\text{tra}(\gamma)g_{i_1 i'_1}(x)$$

where  $x$  and  $y$  are the beginning and endpoints of  $\gamma$ , respectively.

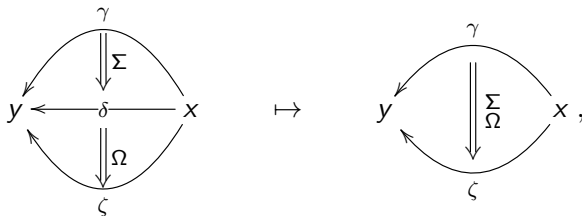
- Our next goal is to generalize these ideas and this relationship to parallel transport along surfaces. Then we'll give a simple example.

## 2-Groups are 2-categories I

- 2-Categories  $\mathcal{C}$  have objects  $C_0$ , 1-morphisms  $C_1$ , and 2-morphisms  $C_2$  along with functions

$$C_2 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{i} \\ \xrightarrow{t} \end{array} C_1 \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{i} \\ \xrightarrow{t} \end{array} C_0$$

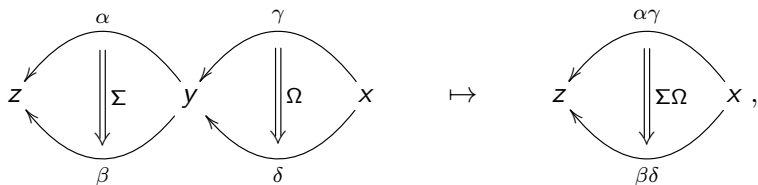
specifying the source and target of morphisms along with identity morphisms for every object and 1-morphism. There are also three composition maps  $C_k \times_{s^{k-j}} \times_{t^{k-j}} C_k \rightarrow C_k$  for all  $j, k$  with  $0 \leq j < k \leq 2$ . The new compositions are drawn as





# 2-Groups are 2-categories II

and



and are both associative and unital with respect to the identity morphisms. Furthermore, they satisfy an important relation known as the interchange law.

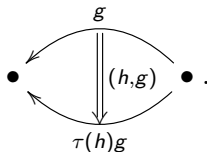
- 2-groups are 2-categories with one object and all 1- and 2-morphisms invertible with respect to all compositions.

## 2-Groups are 2-categories III

There is a one-to-one correspondence between 2-groups and *crossed modules*, i.e. two groups  $G(:= C_1)$  and  $H(:= \ker s \subset C_2)$  together with group homomorphisms  $\tau(:= t|_{\ker s}) : H \rightarrow G$  and  $\alpha : G \rightarrow \text{Aut}(H)$  (defined by  $\alpha_g(h) := 1_g h 1_{g^{-1}}$ ) satisfying

$$\alpha_{\tau(h)}(h') = h h' h^{-1} \quad \& \quad \tau(\alpha_g(h)) = g \tau(h) g^{-1}.$$

Using the above relationship, a 2-morphism looks like



## 2-Groups are 2-categories IV

2-group compositions are written as

$$\begin{array}{c}
 g \\
 \curvearrowright \\
 \bullet \leftarrow \tau(h)g \rightarrow \bullet \\
 \curvearrowleft \\
 (\tilde{h}, \tau(h)g) \\
 \Downarrow \\
 (\tilde{h}, \tau(h)g) \\
 \Downarrow \\
 \tau(\tilde{h})\tau(h)g
 \end{array}
 =
 \begin{array}{c}
 g \\
 \curvearrowright \\
 \bullet \leftarrow (\tilde{h}h, g) \rightarrow \bullet \\
 \curvearrowleft \\
 \tau(\tilde{h})g
 \end{array}$$

and

$$\begin{array}{c}
 g' \\
 \curvearrowright \\
 \bullet \leftarrow (h', g') \rightarrow \bullet \\
 \curvearrowleft \\
 \tau(h')g'
 \end{array}
 \begin{array}{c}
 g \\
 \curvearrowright \\
 \bullet \leftarrow (h, g) \rightarrow \bullet \\
 \curvearrowleft \\
 \tau(h)g
 \end{array}
 =
 \begin{array}{c}
 g'g \\
 \curvearrowright \\
 \bullet \leftarrow (h'\alpha_{g'}(h), g'g) \rightarrow \bullet \\
 \curvearrowleft \\
 \tau(h')g'\tau(h)g
 \end{array}$$

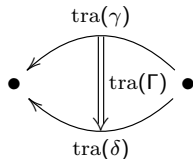
We will write the crossed module as  $\mathcal{G}$  and the associated 2-group as  $\mathcal{BG}$ .

# Local surface transport I

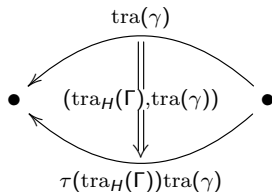
- Principal  $\mathcal{G}$ -2-bundles with connection are described in terms of local  $\mathfrak{g}$ -valued 1-forms ( $A_i \in \Omega^1(U_i; \mathfrak{g})$ ),  $\mathfrak{h}$ -valued 2-forms ( $B_i \in \Omega^2(U_i; \mathfrak{h})$ ), transition functions ( $g_{ij} \in \Omega^0(U_{ij}; G)$ ), transition 1-forms ( $\varphi_{ij} \in \Omega^1(U_{ij}; \mathfrak{h})$ ), and higher transition functions ( $f_{ijk} \in \Omega^0(U_{ijk}; H)$ ), for some open cover  $\{U_i\}_{i \in I}$  of  $M$ .
- They give rise to, and are determined by, 2-functors  $\text{tra} : \mathcal{P}_2(M) \rightarrow \mathcal{BG}$  with *local* (not global) smoothness properties. Here  $\mathcal{P}_2(M)$  is a certain “smooth” 2-groupoid whose points are paths, 1-morphisms are thin paths, and 2-morphisms are certain equivalence classes of bigons (homotopies), called thin bigons.  $\mathcal{P}_2(M)$  is called the thin path 2-groupoid of  $M$ .
- Smoothness conditions are similar to the ordinary functor case.
- The formulas are more complicated, but there is also an equivalence of 2-categories between such differential data and smooth 2-functors as above.

# Local surface transport II

Such a smooth 2-functor  $\text{tra} : \mathcal{P}_2(U) \rightarrow \mathcal{BG}$  assigns to bigons  $\Gamma : \gamma \Rightarrow \delta$  an element



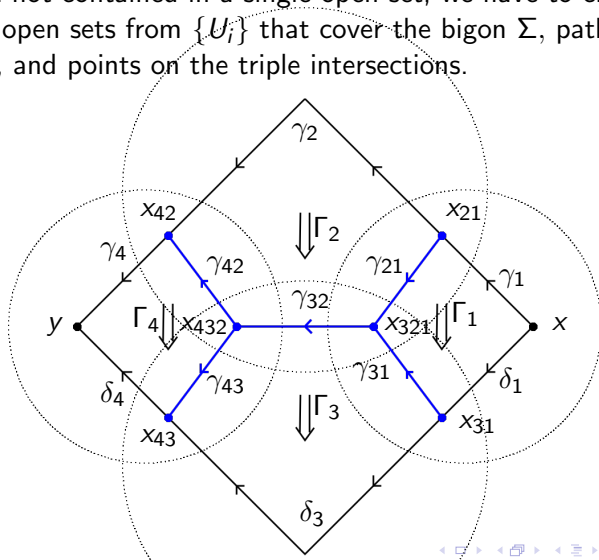
which we will also write as



to separate out the  $H$  and  $G$  components.

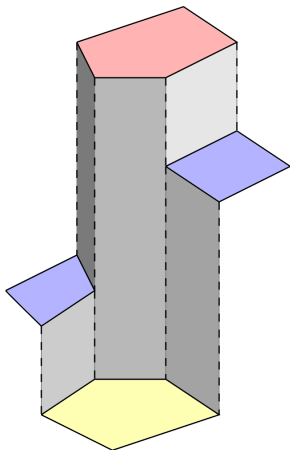
# Global surface transport I

For bigons  $\Sigma$  not contained in a single open set, we have to choose a collection of open sets from  $\{U_i\}$  that cover the bigon  $\Sigma$ , paths on the intersections, and points on the triple intersections.



# Global surface transport II

Even in this relatively simple case, the transport is very complicated and is given by the diagram



## Covering 2-groups

One example of a 2-group is the universal covering 2-group where  $\tau : H \rightarrow G$  is a universal cover with  $H = P_e G / \sim$  (homotopy classes of paths starting at  $e \in G$ ) and  $\alpha$  is conjugation. Multiplication in  $H$  is by multiplying representatives. From now on,  $\mathcal{G}$  will signify such a 2-group.

### Theorem (P)

The formula for local parallel transport for any bigon under any smooth 2-functor  $F : \mathcal{P}_2(X) \rightarrow \mathcal{BG}$  is given by the formula

$$F \left( \begin{array}{ccc} & \gamma & \\ y \leftarrow & \text{---} & \rightarrow x \\ & \Downarrow \Gamma & \\ & \delta & \end{array} \right) = \bullet \left[ s \mapsto F(\Gamma(\cdot, s)) F(\gamma)^{-1} \right] \bullet ,$$

$F(\gamma)$  (top arc),  $F(\delta)$  (bottom arc)

where  $[s \mapsto g(s)]$  is the homotopy class of a path in  $G$  starting at  $e \in G$  and is therefore an element of  $H$ . In particular, it is determined by the value on paths and the homotopy class of bigons.



# $SU(2)$ monopoles I

A simple example of a universal covering 2-group  $\tau : SU(2) \rightarrow SO(3)$ . Let  $P \rightarrow S^2$  be a nontrivial principal  $SO(3)$  bundle over  $S^2$  with connection given by

$$A_N := \frac{J_3}{2}(1 - \cos \theta)d\phi \quad \& \quad A_S := -\frac{J_3}{2}(1 + \cos \theta)d\phi$$

and transition function

$$g_{NS}(\phi) := e^{-\phi J_3}$$

after choosing the cover to be the northern and southern hemispheres. Here

$$J_1 := \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad J_2 := \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \quad \& \quad J_3 := \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

are the generators of  $\mathfrak{so}(3)$ .

# $SU(2)$ monopoles II

We define a principal  $\mathcal{G}$ -2-bundle by setting

$$B_N := \frac{\sigma_3}{4i} \sin \theta \, d\theta \wedge d\phi \quad \& \quad B_S := \frac{\sigma_3}{4i} \sin \theta \, d\theta \wedge d\phi,$$

where

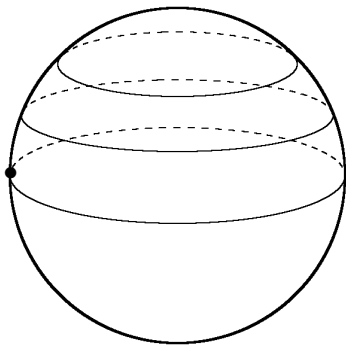
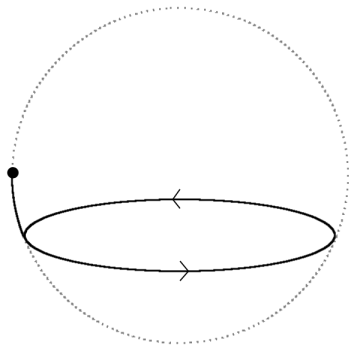
$$\sigma_1 := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 := \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \& \quad \sigma_3 := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

are the Pauli matrices, a set of generators for  $\mathfrak{su}(2)$ . We demand that the transition 1-forms vanish. All other data have been specified above. For reference, the map  $\underline{\tau} : \mathfrak{su}(2) \rightarrow \mathfrak{so}(3)$  induced from the covering map on Lie algebras is given by

$$\underline{\tau} \left( \frac{1}{2i} \sigma_i \right) = J_i.$$

# $SU(2)$ monopoles III

We conveniently choose the following one-parameter family of paths to describe the sphere as a bigon.



With these paths, there is no contribution from the path-ordered integral when traversing the  $\theta$  direction.

# $SU(2)$ monopoles IV

For the northern hemisphere, we have

$$\begin{array}{c}
 \text{tra}_N(c_\bullet) = \mathbb{I}_3 \\
 \leftarrow \text{tra}_N(x) = \bullet \quad \text{tra}_N(\Sigma_N) \quad \bullet = \text{tra}_N(x) \rightarrow \\
 \text{tra}_N(\text{equator})
 \end{array}$$

while for the southern hemisphere, we have

$$\begin{array}{c}
 \text{tra}_S(\text{equator}) \\
 \leftarrow \text{tra}_S(x) = \bullet \quad \text{tra}_S(\Sigma_S) \quad \bullet = \text{tra}_S(x) \rightarrow \\
 \text{tra}_S(c_\bullet) = \mathbb{I}_3
 \end{array}$$

## $SU(2)$ monopoles V

To paste these two bigons together vertically, we have to apply the gauge transformations. First, since  $x$  is at  $\phi = 0$ ,  $g_{NS}(x) = 1$ . Furthermore, since  $\varphi_{NS} = 0$ , the gauge transformation taking us from the northern hemisphere to the southern hemisphere is trivial (it is the identity). This is reasonable because

$$\text{tra}_N(\text{equator}) = \text{tra}_S(\text{equator})$$

since the lefthand side is

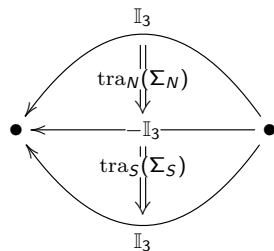
$$\text{tra}_N(\text{equator}) = \mathcal{P} \exp \left\{ \int_0^{2\pi} \frac{J_3}{2} \left( 1 - \cos \left( \frac{\pi}{2} \right) \right) d\phi \right\} = e^{J_3\pi} = -\mathbb{I}_3$$

while the righthand side is

$$\text{tra}_S(\text{equator}) = \mathcal{P} \exp \left\{ - \int_0^{2\pi} \frac{J_3}{2} \left( 1 + \cos \left( \frac{\pi}{2} \right) \right) d\phi \right\} = e^{-J_3\pi} = -\mathbb{I}_3.$$

# $SU(2)$ monopoles VI

Therefore we can vertically compose both of the 2-morphisms and this gives the bigon describing the entire sphere as a bigon from the constant loop to itself



which indeed is a homotopy class of a loop based at the identity in  $SO(3)$ . The calculation of this loop has been known for a long time, but the above analysis gives a rigorous justification for its composition in terms of surface transport of principal 2-bundles. Nevertheless, we compute it.

# $SU(2)$ monopoles VII

In the  $\phi$  direction, the potential only depends on  $J_3$  and can be computed as an ordinary integral. For the bigon describing the northern hemisphere, the transport is given by

$$\begin{aligned} \text{tra}_N(\Sigma_N) &= \left[ \theta \mapsto e^{\int_{\Sigma_N(\cdot, \theta)} A_N} \right]_{\theta=0}^{\theta=\frac{\pi}{2}} \\ &= \left[ \theta \mapsto e^{\frac{J_3}{2} \int_0^{2\pi} (1 - \cos \theta) d\phi} \right]_{\theta=0}^{\theta=\frac{\pi}{2}} \\ &= \left[ \theta \mapsto e^{\pi J_3 (1 - \cos \theta)} \right]_{\theta=0}^{\theta=\frac{\pi}{2}}. \end{aligned}$$

Similarly, the southern hemisphere gives

$$\begin{aligned} \text{tra}_S(\Sigma_S) &= \left[ \theta \mapsto e^{\int_{\Sigma_S(\cdot, \theta)} A_S(-\mathbb{I}_3)} \right]_{\theta=\frac{\pi}{2}}^{\theta=\pi} \\ &= \left[ \theta \mapsto -e^{-\pi J_3 (1 + \cos \theta)} \right]_{\theta=\frac{\pi}{2}}^{\theta=\pi}. \end{aligned}$$

# $SU(2)$ monopoles VIII

The compose these vertically, which means multiplying the representatives pointwise and taking the homotopy class, we need to make the paths have the same domain. Thus by shifting  $\theta$  by  $\frac{\pi}{2}$  in  $\text{tra}(\Sigma_S)$ , we can write it as

$$\begin{aligned} \text{tra}_S(\Sigma_S) &= \left[ \theta \mapsto -e^{-\pi J_3(1+\cos(\theta+\frac{\pi}{2}))} \right]_{\theta=0}^{\theta=\frac{\pi}{2}} \\ &= \left[ \theta \mapsto -e^{-\pi J_3(1-\sin \theta)} \right]_{\theta=0}^{\theta=\frac{\pi}{2}}. \end{aligned}$$

Finally, composing gives

$$\text{tra}_S(\Sigma_S)\text{tra}_N(\Sigma_N) = \left[ \theta \mapsto -e^{\pi J_3(\sin \theta - \cos \theta)} \right]_{\theta=0}^{\theta=\frac{\pi}{2}}.$$

As  $\theta$  runs from 0 to  $\frac{\pi}{2}$ ,  $\sin \theta - \cos \theta$  runs from  $-1$  to  $1$  monotonically. Thus, we get a loop at the identity completing exactly one full rotation along the  $z$  axis. Therefore, this loop is given by the element  $-\mathbb{I}_2$ , the nontrivial element in  $SU(2)$  over the identity element in  $SO(3)$ .